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## NOTICE OF ALLOWANCE AND FEE(S) DUE

21003

7590

02/26/2008

BAKER BOTTS L.L.P. 30 ROCKEFELLER PLAZA 44TH FLOOR NEW YORK, NY 10112-4498 EXAMINER

VOGEL, NANCY S

ART UNIT PAPER NUMBER

1636 DATE MAILED: 02/26/2008

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|                 |             |                      |                     |                  |

10/614,923 07/08/2003 Marie-Claire Grosjean-Cournoyer A34658-PCT-USA-I 3094

TITLE OF INVENTION: POLYNUCLEOTIDES FOR INSERTIONAL MUTAGENESIS IN FUNGI, COMPRISING A GENE WHICH IS FUNCTIONAL IN MAGNAPORTHE AND AN IMPALA TRANSPOSPON

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1440        | \$300               | \$0                  | \$1740           | 05/27/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

| ppropriate. All further<br>ndicated unless correcte<br>naintenance fee notificat  | correspondence including<br>ed below or directed other<br>tions   | ng the Patent, advance on<br>nerwise in Block 1, by (a                  | rders and notification  a) specifying a new co  | of m<br>orresp  | aintenance fees wondence address;                            | ill be i<br>and/or         | mailed to the current<br>(b) indicating a separ                            | correspondence address as<br>rate "FEE ADDRESS" for  |
|---|---|---|---|---|--|----------------------------|--|--|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  |   |   |   | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. |  |                            |  |  |
| BAKER BOTT<br>30 ROCKEFELI<br>44TH FLOOR  | LER PLAZA   | /2008   |   |   | Cer  | tificate                   | of Mailing or Transr   |  |
| NEW YORK, N   | Y 10112-4498  |   |   |   |  |                            |  | (Depositor's name)   |
|   |   |   |   |   |  |                            |  | (Signature)  |
|   |   |   |   |   |  |                            |  | (Date)   |
| APPLICATION NO.   | FILING DATE   |   | FIRST NAMED INVEN   | TOR   |  | ATTO                       | RNEY DOCKET NO.  | CONFIRMATION NO.   |
|   | 07/08/2003<br>: POLYNUCLEOTIDE:<br>ND AN IMPALA TRAN  | S FOR INSERTIONAL 1   | rie-Claire Grosjean-Co<br>MUTAGENESIS IN F  |   | •  |                            | 658-PCT-USA-I<br>NE WHICH IS FUNC  | 3094<br>TIONAL   |
| APPLN. TYPE   | SMALL ENTITY  | ISSUE FEE DUE   | PUBLICATION FEE D   | UE  | PREV. PAID ISSUI   | E FEE                      | TOTAL FEE(S) DUE   | DATE DUE   |
| nonprovisional  | NO  | \$1440  | \$300   |   | \$0  |                            | \$1740   | 05/27/2008   |
| EXAM  | INER  | ART UNIT  | CLASS-SUBCLASS  |   |  |                            |  |  |
| VOGEL, N  | NANCY S   | 1636  | 435-006000  |   |  |                            |  |  |
| Change of correspondence address or indication of "Fee Address" (37 FR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. |   |   | (1) the names of u or agents OR, alter (2) the name of a s registered attorney 2 registered patent  | e names of up to 3 registered patent attorneys ents OR, alternatively, e name of a single firm (having as a member a ered attorney or agent) and the names of up to stered patent attorneys or agents. If no name is a no name will be printed.   |  |                            |  |  |
| PLEASE NOTE: Unl<br>recordation as set forth<br>(A) NAME OF ASSIG   | ess an assignee is ident<br>h in 37 CFR 3.11. Comp<br>GNEE  |   | data will appear on the Ta substitute for filing (B) RESIDENCE: (C                                  | ne pa<br>g an a<br>CITY   | tent. If an assign<br>ssignment.<br>and STATE OR C           | OUNT                       | RY)  | ocument has been filed for up entity   |
| ***   |   |   |   |   |  | -                          | 1 0  |  |
| a. The following fee(s) are submitted:  Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies  |   |   | D. Payment of Fee(s): ( A check is enclosed) Payment by credit The Director is he overpayment, to E | ed.<br>t card<br>reby   | . Form PTO-2038<br>authorized to char                        | is atta                    | ched.<br>required fee(s), any def  |  |
| •   | <b>tus</b> (from status indicated<br>s SMALL ENTITY statu   | ,   | ☐ b. Applicant is no  | long  | er claiming SMAI   | L ENT                      | TITY status. See 37 CF   | FR 1.27(g)(2).   |
| OTE: The Issue Fee and terest as shown by the r   | d Publication Fee (if requeecords of the United Sta   | uired) will not be accepte<br>tes Patent and Trademark                  | d from anyone other the Office.   | an th   | e applicant; a regi  | stered a                   | uttorney or agent; or the  | e assignee or other party in   |
| Authorized Signature  |   |   |   |   | Date   |                            |  |  |
| Typed or printed name   |   |   | Registration No   |   |  |                            |  |  |
| n application. Confident<br>abmitting the completed<br>his form and/or suggesti   | tiality is governed by 35<br>I application form to the<br>ons for reducing this but<br>irginia 22313-1450. DC | U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the | 1.14. This collection is depending upon the i e Chief Information O                                 | s esti:<br>ndivi<br>fficer  | mated to take 12 r<br>dual case. Any co<br>. U.S. Patent and | ninutes<br>mment<br>Tradem | to complete, including<br>s on the amount of tin<br>park Office, U.S. Depa | by the USPTO to process) g gathering, preparing, and he you require to complete rtment of Commerce, P.O. for Patents, P.O. Box 1450, |

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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| APPLICATION NO.            | FILING DATE     | FILING DATE FIRST NAMED INVENTOR |                                | CONFIRMATION NO. |  |  |
|----------------------------|-----------------|----------------------------------|--------------------------------|------------------|--|--|
| 10/614,923                 | 07/08/2003      | Marie-Claire Grosjean-Cournoyer  | A34658-PCT-USA-I<br>(072667    | 3094             |  |  |
| 21003 75                   | 7590 02/26/2008 |                                  | EXAMINER                       |                  |  |  |
| BAKER BOTTS                | L.L.P.          | VOGEL, I                         | NANCY S                        |                  |  |  |
| 30 ROCKEFELLE              | ER PLAZA        | ART UNIT                         | PAPER NUMBER                   |                  |  |  |
| 44TH FLOOR<br>NEW YORK, NY | 10112-4498      |                                  | 1636<br>DATE MAILED: 02/26/200 | 0                |  |  |

### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 328 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 328 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

|   | Application No.  | Applicant(s)   |  |  |
|---|--|--|--|--|
|   | 10/614,923   | GROSJEAN-COURNOYER ET AL.  |  |  |
| Notice of Allowability  | Examiner   | Art Unit   |  |  |
|   | NANCY VOCEL  | 1636   |  |  |
|   | NANCY VOGEL  | 1636   |  |  |
| The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in<br>or other appropriate commur<br>IGHTS. This application is su | this application. If not included nication will be mailed in due course. <b>THIS</b> |  |  |
| 1. This communication is responsive to <u>amendment of 11/16/</u>   | <u>′07</u> .   |  |  |  |
| 2. The allowed claim(s) is/are <u>30-38,40-42, 46,47,49</u> .   |  |  |  |  |
| <ul> <li>3.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> </ul>  |  | r (f).   |  |  |
| 2. ☑ Certified copies of the priority documents have  |  | No. 09/937,236 .   |  |  |
| <ol> <li>Copies of the certified copies of the priority do</li> </ol>   | •  |  |  |  |
| International Bureau (PCT Rule 17.2(a)).  |  | <u> </u>   |  |  |
| * Certified copies not received:  |  |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4.   A SUBSTITUTE OATH OR DECLARATION must be subm   | IENT of this application.  |  |  |  |
| INFORMAL PATENT APPLICATION (PTO-152) which give  |  |  |  |  |
| 5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") mus  | st be submitted.   |  |  |  |
| (a) ☐ including changes required by the Notice of Draftspers  | -  | ( PTO-948) attached  |  |  |
| 1)  hereto or 2)  to Paper No./Mail Date  |  |  |  |  |
| (b) ☐ including changes required by the attached Examiner's<br>Paper No./Mail Date  | s Amendment / Comment or i   | n the Office action of   |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t  |  |  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT   |  |  |  |  |
|   |  |  |  |  |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892)   | 5 Notice of Info   | ormal Patant Application   |  |  |
| <ol> <li>□ Notice of References Cited (PTO-692)</li> <li>□ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>   | 6. ☐ Interview Su  | ormal Patent Application   |  |  |
| <ol> <li>Information Disclosure Statements (PTO/SB/08),</li> </ol>  | Paper No./N  | Mail Date<br>Amendment/Comment   |  |  |
| Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit   |  | 8.   Examiner's Statement of Reasons for Allowance                                   |  |  |
| of Biological Material  | <br>9.   |  |  |  |
|   | /NANCY VOGEL/<br>Primary Examiner, A   | Art Unit 1636  |  |  |